

Practitioner's Docket No. 1600/163

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*In re* application of: Cote et al.

Application No.: 10/700,344  
Filing Date: November 3, 2003

Group/Art Unit: 3767  
Conf. No.: 5277  
Examiner: Moulton, Elizabeth Rose

For: LUER-ACTIVATED VALVE

**Attn: Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

- NOTE:** *"An information disclosure statement shall be considered by the Office if filed by the applicant:*
- (1) Within three months of the filing date of a national application;*
  - (2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or*
  - (3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).*
- NOTE:** *"Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).*
- "Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:*
- (1) each inventor named in the application;*
  - (2) each attorney or agent who prepares or prosecutes the application; and*
  - (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).*
- NOTE:** *The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) - (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.*
- WARNING:** *"No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).*

**List of Sections Forming Part of This Supplemental Information Disclosure Statement**

The following sections are being submitted for this Supplemental Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections and number pages consecutively)*

1. ☒ Preliminary Statements
2. ☒ Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ JEPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Supplemental Information Disclosure Statement

*NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).*

(Supplemental Information Disclosure Statement--Page 2 of 7)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /EM/

### **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Cote et al.	Attorney Docket:	1600/163
Serial No:	10/700,344	Group/Art Unit:	3767
Filing Date:	November 3, 2003	Conf. No.:	5277
		Examiner Name:	Moulton, Elizabeth Rose
Invention:	LUER-ACTIVATED VALVE		

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

<b>U.S. PATENT DOCUMENTS</b>					
<b>Examiner Initials</b>	<b>Reference Number</b>	<b>Document Number</b>	<b>Issue Date</b>	<b>Inventor</b>	<b>Class/Subclass</b>
	<b>ID</b>	US 7,100,890	Sep. 5, 2006	Cote Sr. et al.	251/149.1
	<b>IE</b>	US 6,883,778	Apr. 26, 2005	Newton et al.	251/149.1
	<b>IF</b>	US 6,585,229	Jul. 1, 2003	Cote Sr. et al.	251/149.1
	<b>IG</b>	US 6,485,472	Nov. 26, 2002	Richmond	604/246
	<b>IH</b>	US 6,206,860	Mar. 27, 2001	Richmond	604/246
	<b>II</b>	US 6,189,859	Feb. 20, 2001	Rohrbough et al.	251/149.1
	<b>IJ</b>	US 6,158,458	Dec. 12, 2000	Ryan	137/515.5
	<b>IK</b>	US 5,954,313	Sep. 21, 1999	Ryan	251/149.1
	<b>IL</b>	US 5,817,069	Oct. 6, 1998	Arnett	604/256
	<b>IM</b>	US 5,788,215	Aug. 4, 1998	Ryan	251/149.6
	<b>IN</b>	US 5,685,842	Nov. 11, 1997	Drivas	604/49
	<b>IO</b>	US 5,613,663	Mar. 25, 1997	Schmidt et al.	251/149.2
	<b>IP</b>	US 5,555,908	Sep. 17, 1996	Edwards et al.	137/329.1
	<b>IQ</b>	US 5,549,577	Aug. 27, 1996	Siegel et al.	604/256
	<b>IR</b>	US 5,540,661	Jul. 30, 1996	Tomisaka et al.	604/265
	<b>IS</b>	US 5,520,665	May 28, 1996	Fleetwood	604/283
	<b>IT</b>	US 5,441,487	Aug. 15, 1995	Vedder	604/167
	<b>IU</b>	US 5,184,652	Feb. 9, 1993	Fan	141/21
	<b>IV</b>	US 5,127,904	Jul. 7, 1992	Loo et al.	604/83
	<b>IW</b>	US 5,122,123	Jun. 16, 1992	Vaillancourt	604/192
	<b>IX</b>	US 4,041,432	Aug. 9, 1977	Yarworth et al.	337/111

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /EM/

Applicants: Cote et al. Attorney Docket: 1600/163  
Serial No: 10/700,344 Group/Art Unit: 3767  
Filing Date: November 3, 2003 Conf. No.: 5277  
Examiner Name: Moulton, Elizabeth Rose  
Invention: LUER-ACTIVATED VALVE

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT PUBLICATION DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Publication Date	Inventor	Class/ Subclass
	IY	US 2003/0060779	Mar. 27, 2003	Richmond	604/256
	IZ	US 2002/0024036	Feb. 28, 2002	Rohrbough et al.	251/149.1

Examiner Signature: /Elizabeth Moulton/

Date Considered: 04/09/2010

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

**Section 6. Copies of Listed Information Items Accompanying This Statement**

*NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (i) Each foreign patent; (ii) Each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) For each cited pending unpublished U.S. application, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and (iv) All other information or that portion which caused it to be listed."*

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

☒ Exception(s) to above:

**Copies of cited U.S. patents and U.S. patent application publications are not included, as the Office has not required them.**

**Copies of cited pending unpublished U.S. applications that are available in the USPTO's IFW system are not included. See *Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent Applications*, 1287 O.G. 163 (Oct. 19, 2004).**

☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

**Section 10. Identification of Person(s) Making This Supplemental Information Disclosure Statement**

The person making this certification is

*(check each applicable item)*(a) ☐ the inventor(s) who signs below

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**SIGNATURE OF INVENTOR**

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*(type name of inventor who is signing)*(b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c))

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**SIGNATURE OF INVENTOR**

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*(type name of inventor who is signing)*(c) ☒ the practitioner who signs below on the basis of the information:☐ supplied by the inventor(s).☐ supplied by the individual associated with the filing and prosecution of this application. (37 C.F.R. section 1.56(c)).☒ in the practitioner's file.Reg. No.: 60,821

Tel. No.: (617) 443-9292

Customer No.: 002101

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/Jonathan C. Lovely, #60,821/**SIGNATURE OF PRACTITIONER**

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Jonathan C. Lovely*(type or print name of practitioner)*

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